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Docket No. AUS000185US1

Assistant Commissioner for Patents
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Sir:

Transmitted herewith for filing is the patent application of Inventor(s):
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For: **APPARATUS AND METHOD FOR DELETION OF OBJECTS FROM AN
OBJECT-RELATIONAL SYSTEM IN A CUSTOMIZABLE AND DATABASE
INDEPENDENT MANNER**

Enclosed are also:

- ☒ **33** Pages of Specification including an Abstract
- ☒ **9** Pages of Claims
- ☒ **11** Sheet(s) of Drawings
- ☒ A Declaration and Power of Attorney
- ☒ Form PTO 1595 and assignment of the invention to IBM Corporation

CLAIMS AS FILED

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**APPARATUS AND METHOD FOR DELETION OF OBJECTS FROM AN
OBJECT-RELATIONAL SYSTEM IN A CUSTOMIZABLE AND DATABASE
INDEPENDENT MANNER**

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BACKGROUND OF THE INVENTION

1. Technical Field:

The present invention is directed to an apparatus
and method for deletion of objects from an object
10 relational system in a customizable and database
independent manner. In particular, the present invention
is directed to deletion of data representations of
objects stored as records in a relational database and
modification or deletion of records of child objects of
15 the deleted record.

2. Description of Related Art:

In an object-relational system, i.e. a system having
applications containing objects whose attributes are
20 defined in a relational database, the state of an object
is stored as a record in a relational database table. In
the relational database, there are foreign-key
constraints, or dependency constraints, that ensure the
integrity of the data. When a data representation of an
25 object is deleted from the relational database the
deletion of the underlying database record must abide by
the database constraints, i.e. if there are any
dependencies, the deletion must be handled accordingly.

Traditionally, this has been handled by database
30 triggers which represent a custom and non-portable, i.e.
database-specific, solution to ensure database integrity.
However, this solution does not lend itself to a

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heterogeneous environment. Thus, for example, a relational database, such as Oracle, is used to define triggers for deletion of data representations of objects in the relational database. These same triggers will not
5 be useable if the underlying relational database system is changed to IBM DB2. In other words, the same triggers cannot be used for the deletion of data representations of objects in a plurality of different relational database systems, since they are written in
10 database-specific languages.

Thus, it would be beneficial to have an apparatus and method for the deletion of objects in a relational database that is customizable and not database-specific.

005003 "Patented"

SUMMARY OF THE INVENTION

5 The present invention provides an apparatus and method for deleting data representations of objects from a relational database. The apparatus and method provide a customizable and database independent mechanism for the deletion of data representations of objects from a
10 relational database. When the terms "object data stored in a relational database," "object data in a relational database", or the like, are used herein, what is meant is that the data representations of the objects are stored as records in a relational database and the deletion of
15 the "object data" is the deletion of the data representations of the objects from the relational database.

 The present invention involves determining the dependency structure of a relational database using
20 database metadata (i.e. information about the tables and their relationships). The dependency structure is then utilized to determine the type of deletion to be performed on an object and data representations of its related objects, i.e. parent or child objects. The
25 delete actions include cascade deletion and nullify columns.

 Cascade deletion involves the deletion of the object data and all data representations of objects that are related to that object data, i.e. all child objects and
30 child objects of those objects as well. This dependency tree is recursive structure with leaf objects that do not have any dependents. Nullify columns deletion action involves the deletion of the object data and the

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nullification (severing) of links to child object data. Which deletion to perform is customizable through selection by a user.

5 The invention produces a dependency tree in the form of a markup language file with default delete actions derived by means of certain heuristics. These heuristics identify default deletion operations, either cascade or nullify columns, to be performed on data representations of objects and the child object data of these data
10 representations. A user may choose to override the default cascade deletion or nullify columns deletion action for each data representation of an object in the relational database. In addition, the user may select additional deletion constraints identifying which objects
15 are to be deleted.

The database dependency structure and the deletion mechanism for the database objects is provided to a database meta-information generator tool that creates a database meta-information class that encapsulates the
20 dependency structure and deletion mechanism information. At run-time, a depth-first traversal of the dependency information in the database meta-information class is used to generate relevant Structured Query Language (SQL) commands. The SQL commands are used to execute the
25 appropriate deletion actions in the relational database. The cascade deletion action results in a "delete" SQL command while the nullify columns deletion action results in an "update...set...=null" SQL command.

The present invention provides a mechanism by which
30 data representations of objects may be deleted within the constraints established by content of the relational database. Furthermore, the present invention provides a

mechanism by which the deletion of data representations of objects may be performed in a customizable manner. Additionally, the present invention is operable for deletion of data representations of objects in a heterogeneous environment in which relational databases of different types are utilized.

5 heterogeneous environment in which relational databases
of different types are utilized.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 is a diagram illustrating a distributed data processing system according to the present invention;

Figure 2 is an exemplary block diagram of a server according to the present invention;

Figure 3 is an exemplary block diagram of a client according to the present invention;

Figure 4 is a block diagram illustrates the relationship of software components operating within a computer system that may implement the present invention;

Figures 5A and **5B** are exemplary diagrams illustrating paths taken by requests and commands to use information stored in a relational database resident on a database server;

Figure 6 is an exemplary diagram illustrating a relational database;

Figure 7 is an exemplary block diagram illustrating the components and data path according to the present invention;

Figure 8A is an exemplary diagram of a portion of the metainfo.xml file showing the dependency relationship of the relational database of **Figure 5** described in an

XML format;

Figure 9 is a flowchart outlining an exemplary operation of the present invention when creating the metainfo.xml file and generating the metainfo.java class; and

Figure 10 is a flowchart outlining an exemplary operation of the present invention when using the metainfo.java class to perform deletion of an object in a relational database.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference now to the figures, and in particular
5 with reference to **Figure 1**, a pictorial representation of
a distributed data processing system is depicted in which
the present invention may be implemented. Distributed
data processing system **100** is a network of computers in
which the present invention may be implemented.
10 Distributed data processing system **100** contains network
102, which is the medium used to provide communications
links between various devices and computers connected
within distributed data processing system **100**. Network
102 may include permanent connections, such as wire or
15 fiber optic cables, or temporary connections made through
telephone connections.

In the depicted example, server **104** is connected to
network **102**, along with storage unit **106**. In addition,
clients **108**, **110** and **112** are also connected to network
20 **102**. These clients, **108**, **110** and **112**, may be, for
example, personal computers or network computers. For
purposes of this application, a network computer is any
computer coupled to a network which receives a program or
other application from another computer coupled to the
25 network. In the depicted example, server **104** provides
data, such as boot files, operating system images and
applications, to clients **108-112**. Clients **108**, **110** and
112 are clients to server **104**. Distributed data
processing system **100** may include additional servers,
30 clients, and other devices not shown.

In the depicted example, distributed data processing

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system **100** is the Internet, with network **102** representing a worldwide collection of networks and gateways that use the TCP/IP suite of protocols to communicate with one another. At the heart of the Internet is a backbone of high-speed data communication lines between major nodes or host computers consisting of thousands of commercial, government, education, and other computer systems that route data and messages. Of course, distributed data processing system **100** also may be implemented as a number of different types of networks such as, for example, an intranet or a local area network. **Figure 1** is intended as an example and not as an architectural limitation for the processes of the present invention.

Referring to **Figure 2**, a block diagram of a data processing system which may be implemented as a server, such as server **104** in **Figure 1**, is depicted in accordance with the present invention. Data processing system **200** may be a symmetric multiprocessor (SMP) system including a plurality of processors **202** and **204** connected to system bus **206**. Alternatively, a single processor system may be employed. Also connected to system bus **206** is memory controller/cache **208**, which provides an interface to local memory **209**. I/O bus bridge **210** is connected to system bus **206** and provides an interface to I/O bus **212**. Memory controller/cache **208** and I/O bus bridge **210** may be integrated as depicted. Peripheral component interconnect (PCI) bus bridge **214** connected to I/O bus **212** provides an interface to PCI local bus **216**. A number of modems **218-220** may be connected to PCI bus **216**. Typical PCI bus implementations will support four PCI expansion slots or add-in connectors. Communications

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links to network computers **108-112** in **Figure 1** may be provided through modem **218** and network adapter **220** connected to PCI local bus **216** through add-in boards. Additional PCI bus bridges **222** and **224** provide interfaces for additional PCI buses **226** and **228**, from which additional modems or network adapters may be supported. In this manner, server **200** allows connections to multiple network computers. A memory mapped graphics adapter **230** and hard disk **232** may also be connected to I/O bus **212** as depicted, either directly or indirectly.

Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 2** may vary. For example, other peripheral devices, such as optical disk drives and the like, also may be used in addition to or in place of the hardware depicted. The depicted example is not meant to imply architectural limitations with respect to the present invention. The data processing system depicted in **Figure 2** may be, for example, an IBM RISC/System 6000, a product of International Business Machines Corporation in Armonk, New York, running the Advanced Interactive Executive (AIX) operating system.

With reference now to **Figure 3**, a block diagram of a data processing system in which the present invention may be implemented is illustrated. Data processing system **300** is an example of a client computer. Data processing system **300** employs a peripheral component interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures, such as Micro Channel and ISA, may be used.

Processor **302** and main memory **304** are connected to PCI local bus **306** through PCI bridge **308**. PCI bridge **308**

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may also include an integrated memory controller and cache memory for processor **302**. Additional connections to PCI local bus **306** may be made through direct component interconnection or through add-in boards. In the

5 depicted example, local area network (LAN) adapter **310**, SCSI host bus adapter **312**, and expansion bus interface **314** are connected to PCI local bus **306** by direct component connection.

In contrast, audio adapter **316**, graphics adapter
10 **318**, and audio/video adapter (A/V) **319** are connected to PCI local bus **306** by add-in boards inserted into expansion slots. Expansion bus interface **314** provides a connection for a keyboard and mouse adapter **320**, modem **322**, and additional memory **324**.

15 In the depicted example, SCSI host bus adapter **312** provides a connection for hard disk drive **326**, tape drive **328**, CD-ROM drive **330**, and digital video disc read only memory drive (DVD-ROM) **332**. Typical PCI local bus implementations will support three or four PCI expansion
20 slots or add-in connectors.

An operating system runs on processor **302** and is used to coordinate and provide control of various components within data processing system **300** in **Figure 3**. The operating system may be a commercially available
25 operating system, such as OS/2, which is available from International Business Machines Corporation. "OS/2" is a trademark of International Business Machines Corporation.

An object oriented programming system, such as Java, may run in conjunction with the operating system,
30 providing calls to the operating system from Java programs or applications executing on data processing

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system **300**. Instructions for the operating system, the object-oriented operating system, and applications or programs are located on a storage device, such as hard disk drive **326**, and may be loaded into main memory **304**
5 for execution by processor **302**.

Those of ordinary skill in the art will appreciate that the hardware in **Figure 3** may vary depending on the implementation. For example, other peripheral devices, such as optical disk drives and the like, may be used in
10 addition to or in place of the hardware depicted in **Figure 3**. The depicted example is not meant to imply architectural limitations with respect to the present invention. For example, the processes of the present invention may be applied to multiprocessor data
15 processing systems.

Java Database Connectivity (JDBC) application interfaces are used to communicate with the relational database. JDBC application interfaces are application interfaces that are used to access heterogeneous
20 databases in a transparent manner. JDBC is described in "Core JAVA 2," Cay S. Horstmann et al., Sun Microsystems Press, 2000, pages 203-253, which is hereby incorporated by reference.

While the present invention will be described with
25 reference to preferred embodiments in which Java applications and Java objects are utilized, the invention is not limited to the use of the Java programming language. Rather, any programming language may be utilized with the principles of the present invention.
30 The only requirement is that there must be some means for obtaining the structure of the relational database. For example, the present invention may be implemented using

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Microsoft Open Database Connectivity (ODBC). The ODBC driver provides links to such server/client database systems as Oracle and SQL Server and to desktop database systems such as dBASE and FoxPro.

5 The present invention may be implemented either at the server, such as server **104**, or at a client device, such as client device **110**. In other words, a data representation of an object may be deleted either on the client or the server. For example, if the system makes
10 use of a traditional client/server application, the present invention may be implemented at the client device. If the system makes use of a three-tier application, the present invention may be implemented at a server. The traditional client/server application and
15 the three-tier application will be discussed in greater detail hereafter. For purposes of the following description, it will be assumed that the tools according to the present invention are present at the client device.

20 Furthermore, the client and server devices may be implemented as client and server processes on the same physical device. Thus, with regard to the descriptions of the preferred embodiments herein, client and server may constitute separate remote devices or the same device
25 operating in two separate capacities.

 The present invention is implemented as application tools running on the client device or server. Thus, the application tools according to the present invention may be stored, for example, in local memory **209** or **324**. The
30 application tools are implemented by either the processor **202**, **204** or **302** and make use of network connections via either the network adapter **220**, LAN adapter **310** or modem

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218 or 322.

With reference now to **Figure 4**, a block diagram illustrates the relationship of software components operating within a computer system that may implement the present invention. Java-based system **400** contains platform specific operating system **402** that provides hardware and system support to software executing on a specific hardware platform. JVM **404** is one software application that may execute in conjunction with the operating system. JVM **404** provides a Java run-time environment with the ability to execute Java application or applet **406**, which is a program, servlet, or software component written in the Java programming language. The computer system in which JVM **404** operates may be similar to data processing system **300** or computer **200** described above. However, JVM **404** may be implemented in dedicated hardware on a so-called Java chip, Java-on-silicon, or Java processor with an embedded picoJava core.

JVM **404** is the center of a Java run-time environment and supports all aspects of Java's environment, including its architecture, security features, mobility across networks, and platform independence.

JVM **404** is a virtual computer that executes Java programs. JVM **404** is in essence a computer that is specified abstractly. The JVM loads class files and executes the bytecodes within them.

The specification defines certain features that every JVM must implement, with some range of design choices that may depend upon the platform on which the JVM is designed to execute. For example, all JVMs must execute Java bytecodes and may use a range of techniques

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to execute the instructions represented by the bytecodes. A JVM may be implemented completely in software or somewhat in hardware. This flexibility allows different JVMs to be designed for mainframe computers and PDAs.

5 Java programs are not run directly by the central processor but instead by the JVM, which is itself a piece of software running on the processor. The JVM allows Java programs to be executed on a different platform as opposed to only the one platform for which the code was
10 compiled. Java programs are compiled for the JVM. In this manner, Java is able to support applications for many types of data processing systems, which may contain a variety of central processing units and operating systems architectures.

15 To enable a Java application to execute on different types of data processing systems, a compiler typically generates bytecode instructions, which are in an architecture-neutral file format. A "bytecode" instruction is a machine independent code generated by
20 the Java compiler and executed by a Java interpreter. A Java interpreter is part of the JVM that alternately decodes and interprets a bytecode or bytecodes. These bytecode instructions are designed to be easy to interpret on any computer and easily translated on the
25 fly into native machine code. Bytecodes also may be translated into native code, computer specific instructions, by a just-in-time compiler (JIT).

30 The Java Database Connectivity (JDBC) kit was developed for Java for allowing programmers to connect to a database and query it or updated it using the Structured Query Language (SQL). JDBC can be used in both Java applications and applets. These applications

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and applets can be implemented as a traditional client/server program, as shown in **Figure 5A**, or as a three-tier application as shown in **Figure 5B**.

As shown in **Figure 5A**, in a traditional client/server program, the client **510** uses JDBC **520** to establish a communication connection with the database server **530** using a database protocol. In a three-tier application as shown in **Figure 5B**, the client **540** does not make database calls. Instead, the client **540** calls on a middleware layer **550** on the server that in turn makes the database queries using JDBC **560**.

The three-tier model separates the visual presentation from the business logic, i.e. the Java applications, and the raw data in the database **570**. Therefore, it becomes possible for the same data and same business rules to be access from multiple clients. Communication between the client **540** and the middle tier **550** can occur by using various protocols such as HTTP, RMI, and the like. Communication between the Middle Tier **550** and the Database Server **570** occurs using JDBC **560** and a database protocol.

The present invention is equally applicable to either the client/server or the three-tier structure shown in **Figures 5A** and **5B**. For purposes of illustration, it will be assumed with regard to the embodiments described herein that the traditional client/server structure is utilized. The present invention provides a mechanism by which object data in a relational database, i.e. objects stored as records in the relational database, may be deleted with appropriate processing of other object data that are linked to the

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deleted object data. When the terms "object data stored in a relational database," "object data in a relational database", or the like, are used herein, what is meant is that the data representations of the objects are stored as records in a relational database and the deletion of the "object data" is the deletion of the data representations of the objects from the relational database.

Figure 6 is an exemplary illustration of a simplified relational database according to the present invention. The relational database is utilized in an object-relational system, i.e. a system in which applications operate on objects which are mapped to the corresponding data representations, i.e. records, in tables of a relational database. As shown in **Figure 6**, the relational database is comprised of a plurality of tables **610-630** which are linked based on common attributes shown as columns in the tables.

Table **610** includes information identifying authors. The table **610** includes such information as the author identification, the author's name, and a uniform resource locator (URL) associated with the author. The author record is a data representation of an author object that may be utilized by a Java application.

The primary key (PK) of the table **610** is the Author_ID. The primary key is the attribute that uniquely identifies a record in the table. Thus, the Author_ID in table **610** is the primary key since multiple authors may have the same name but will have different Author_IDs.

Table **620** includes information identifying book authors. The table **620** includes such information as the

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ISBN of the book(s) authored by the author, the author's Author_ID, and a Seq_No. for the author. The primary key for the records in table **620** is the ISBN. In addition, table **620** includes the foreign key Author_ID. A foreign
5 key is a primary key of one table that is present in another table. The tables **610-620** are linked by way of their primary and foreign keys.

Table **630** includes information identifying books. The table **630** includes such information as the Title of
10 the book, the ISBN, the Publisher_ID, an associated URL, and a price for the book. The primary key for the records in the table **630** is the ISBN since this attribute uniquely identifies a book in the table **630**. The ISBN is also the foreign key for table **630** in that the ISBN is
15 also a primary key for table **620**.

Thus, based on the primary and foreign keys of the tables **610-630**, the book author object data in table **620** may exist independently of the author object data in table **610**. In other words, the primary key of the table
20 **610**, i.e. the foreign key in table **620**, is not a proper subset of the primary key of table **620**. However, the book object data in table **630** are not independent of the book author object data in table **620**. That is, the primary key of table **620**, i.e. the foreign key in table
25 **630**, is a proper subset of the primary key of table **630**. A foreign key is a "proper subset" of the primary key if the foreign key includes some or all of the primary keys of the table.

Therefore, if an object in table **610** is to be
30 deleted, such as the author "Adams, John", the book author object data corresponding to "Adams, John" in

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table 620 may be deleted or its link to the object data in table 610 may be severed. If object data in the table 620 is deleted, linked object data in table 630 must be deleted also. The present invention provides a mechanism for performing this deletion of objects and dealing with their dependencies.

The JDBC kit (APIs) provides a mechanism for providing information about the structure of a relational database and its tables. For example, a user can get a list of tables in a particular relational database, the column names, types of tables, and the like. This information is known as JDBC database metadata. While the JDBC kit is utilized in the descriptions of the preferred embodiments herein, it should be appreciated by those of ordinary skill in the art that the JDBC kit is only an exemplary mechanism for providing structure information of a relational database and other mechanisms may be employed without departing from the spirit and scope of the present invention.

The present invention receives this JDBC database metadata as input and creates a markup language file describing the database dependency information of the various tables in the relational database. The markup language file is created by parsing the JDBC database metadata to identify the link relationships between tables in the relational database. These link relationships may be identified based on primary keys and foreign keys as discussed above. Based on these link relationships, a markup language file describing the structure of the relational database and the links between tables as table definitions is generated. In addition, default delete actions are determined based on

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the link relationships and delete action identifiers are added to the markup language file table definitions.

The delete actions are derived by heuristics which are discussed later. The invention uses this markup

5 language file information to generate a database metainformation class that can be used at run time by a delete DBManager to perform deletion of object data in the relational database.

Figure 7 is an exemplary block diagram illustrating
10 the operation of the present invention. As shown in **Figure 7**, JDBC DatabaseMetaData is input to the Metainfo.java tool **710**. The Metainfo.java tool **710** operates on the JDBC DatabaseMetaData to generate a markup language file containing dependency information
15 regarding the relational database defined by the JDBC DatabaseMetaData. The depicted example shows an Extensible Markup Language (XML) file is created, however, other representations may be used without departing from the spirit and scope of the present
20 invention.

The metainfo.xml file is input to the DBMetaInfoGen.java tool **720** which operates on the metainfo.xml file to generate a DBMetainfo.java class. The DBMetainfo.java class is used by a DeleteDBManager
25 tool **730** during runtime to delete object data from the relational database and perform appropriate processing of remaining linked object data. Thus, in one particular embodiment, the present invention includes a suite of tools **710-730** that provide a mechanism by which data
30 representations of objects may be deleted from a relational database while appropriately handling linked object data and dependencies in the relational database

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in a customizable manner.

The metainfo.xml file designates the dependencies between tables of the relational database and the type of deletion that is to be performed. **Figure 8A** shows an
5 example of the metainfo.xml file that corresponds to the relational database illustrated in **Figure 6**.

As shown in **Figure 8A**, the metainfo.xml file includes a table name, a listing of primary keys, a dependent table name, foreign keys for the dependent
10 table, and a delete action identifier for each table **810-830** as appropriate. The primary keys designate the key attributes of the table. The dependent table name designates the table(s) that are dependent on the defined table. The foreign key designates the relationship with
15 the dependent table(s). The primary keys and foreign keys of tables in the relational database are designated by the creator of the relational database at the time the tables are created. The delete action identifier identifies one of two types of actions that are to be
20 performed when a data representation of an object is deleted from the defined table: Cascade delete or Nullify_Columns delete.

Cascade delete is a deletion in which the parent object data is deleted and the child object data are
25 deleted recursively, i.e. all child object data is deleted unless the cascade delete action is overridden in a table definition of a dependent table. Nullify_Columns action involves deleting the parent object data but allowing the child object data to remain in the
30 relational database. The type of deletion is automatically set to a default type by applying certain heuristics on the JDBC DatabaseMetaData received as

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input. These heuristics are discussed in greater detail below.

If the DatabaseMetaData indicates that a dependent table contains a foreign key that is a proper subset of the primary key of the dependent table, the deletion type is set to Cascade delete. This is defined as an identifying relationship, i.e. the child object data cannot exist without the parent object data.

If the DatabaseMetaData indicates that a dependent table contains a foreign key that is not part of its primary key of the dependent table, the deletion type is set to Nullify_Columns delete. This is defined as a non-identifying relationship, i.e. the child object data can exist without the parent object data. Thus, the delete action depends on the table-dependent table pair, and not just the table alone.

As shown in **Figure 8A**, the particular delete actions indicate that a Nullify Columns delete action of BookAuthor object data that is dependent on object data in the Author table element **810** is performed. However, a cascade delete is performed with regard to Book object data that is dependent on object data in the BookAuthor table element **820**. Thus, if a book author is to be deleted from table element **820**, which corresponds to table **620** in **Figure 6**, the book object data in table **630** linked to the book author object data in table **620** will be deleted due to the Cascade delete action. However, if an author is to be deleted from table **810**, the book author object data in BookAuthor table element **820** that is linked to the author object data in Author table element **810** will not be deleted, only their links to the deleted author object data will be nullified. In this

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way, the book author object data may remain even though the parent object data has been deleted.

The delete action may also be based on user override of default delete actions. For example, the user may
5 edit the metainfo.xml file using an editor to manually select and change the delete action identifier. Alternatively, the user may perform the delete action selection using a graphical user interface.

For example, the graphical user interface may be
10 incorporated with the metainfo.java tool and may graphically depict in a tree-like manner, the various tables and their dependencies with links between tables being labeled with a corresponding delete action identifier. The user may then select a link and choose a
15 delete action from, for example, a pull-down menu or the like. Other forms of graphical user interfaces may be utilized without departing from the spirit and scope of the present invention. In this way, the user may customize the deletion of objects from a relational
20 database at an object level.

In addition to customizing the particular delete actions performed on data representations of objects in tables of the relational database, the present invention provides a user the ability to insert deletion
25 constraints into the metainfo.xml file.

While the example in **Figure 8A** is directed to a book-author application, it should be appreciated that the present invention is not limited to any particular application. Rather, the present invention may be used
30 in many different areas in which relational databases may be used. For example, the present invention may be utilized in a system for administering user access to

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system resources, such as in a network environment.

Figure 8B shows another example of the metainfo.xml file according to the present invention as applied to a user access control system in a network environment. The
5 particular example shown in **Figure 8B** includes a deletion constraint which has been added by a user, in accordance with a further feature of the present invention.

In the depicted example, an ACL identifies an access control list for a service that is provided. The ACL
10 includes an ACLNAME that identifies the name of a particular service that is provided, such as a scheduler. The service is comprised of a number of methods, or functions, that may be performed. These methods are identified by their ELEMENTNAMES. Because there may be
15 more than one ACL having methods with the same ELEMENTNAME, an ACL_ENTRY table is provided to uniquely identify the combination of ACL and ELEMENTNAMES.

The PERMISSIONROLEMAP table identifies the roles, e.g., network administrator, that are provided access to
20 the various services and methods. These roles are identified by their management server identification MGMTSRVID and their role identification ROLEID.

As shown in **Figure 8B**, the particular example shown is directed to a relational database having tables
25 **870-890** representing Access Control Lists (ACLs) and ACL entries, respectively. ACLs are used to control access to services, such as network services.

The table **870** is the parent table of tables **880-890** and is defined in the metainfo.xml file to have a primary
30 key of ACLNAME with the foreign key of the dependent table **880** also being ACLNAME. Since the foreign key (ACLNAME) is a proper subset of the primary key of

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ACL_ENTRY(ACLNAME, ELEMENTNAME), the type of delete action is set to Cascade delete.

The table **880** (ACL_ENTRY) is a dependent, or child, table of the table **870** and is defined in the metainfo.xml file to have primary keys of ACLNAME and ELEMENTNAME. The foreign key in the PERMISSIONROLEMAP table is the combination of ACLNAME and ELEMENTNAME as well. Since the foreign key in the PERMISSIONROLEMAP table is a proper subset of its primary key, the delete action is set to Cascade delete.

However, a delete constraint has been added by a user by, for example, using an editor or graphical user interface as described above. The delete constraint is 'unauthenticated = 0' in table **870**, meaning that only authenticated ACL entries may be deleted. Thus, with the delete constraint in effect, a cascade delete is performed on all authenticated ACL entries whose ACL object data in table **870** is deleted. Non-authenticated ACL entries will not be deleted by the cascade delete action.

Although **Figure 8B** only shows a single delete constraint, multiple delete constraints may be "strung together" to provide a highly customizable delete action. The stringing together of delete constraints may make use of any type of Boolean operation, such as AND, OR and the like.

The default Nullify_Columns action can be overridden by the user and changed to Cascade_delete. However, the converse is not possible. This is because a primary key column cannot be nullified. If a primary key column were nullified, the object would not appear to exist.

For example, if a user's identity is stored in a

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relational database table that has a social security number as a primary key, nullifying the social security number primary key of the data representation of the user will cause the user's identity to appear not to exist.

5 This is because the user's identity can no longer be retrieved from the table since the table is keyed by the social security number. For this reason, the delete actions is limited such that a user cannot nullify a primary key attribute of a record in a table of the
10 relational database.

The metainfo.xml file is provided to the DBMetaInfoGen.java tool to generate the DBMetaInfo.java class. The DBMetaInfo.java class is a Java class that encapsulates the metainfo.xml file and contains the
15 dependency information for the relational database.

When a user wishes to delete an object from the relational database, the user makes use of a DeleteDBManager application programming interface (API) to invoke the DeleteDBManager tool. This process
20 involves designating the table and key attribute value for the object that is to be deleted.

The DeleteDBManager tool parses that DBMetaInfo.java file for the specified table and performs an appropriate delete action based on the delete action identifier
25 associated with the specified table.

The deletion is actually performed by generating SQL statements that are executed to perform the operations of deleting the specified object. SQL statements provide a means by which object data can be stored, deleted and
30 modified in a relational database. SQL statements are described in general in the above referenced "Core Java 2" text, which is incorporated by reference.

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If the desired delete action is Nullify_Column, a SQL query, such as "update <childtable> set <foreignkey-field> = null," is generated.

If the desired action is Cascade delete, a determination
 5 is made as to whether the relationship between the child table and the parent table is an identifying or non-identifying relationship. By "identifying" relationship, what is meant is that the foreign key of the child table is a proper subset of its primary key. A
 10 non-identifying relationship is then a relationship in which the foreign key of the child table is not a proper subset of its primary key.

If the relationship is an identifying relationship, an SQL statement such as

15
 delete from <childtable> where
 <foreignkey-field> = <value>

is generated. If the relationship is a non-identifying
 20 relationship, a nested SQL statement such as

delete from <childtable> where <field-child> in
 (select <field-parent> from <parent> where
 <primarykey-field> = <value>)
 25

is generated. This SQL statement may be much more complicated depending on the depth of the dependency in the relational database.

In addition, if the delete action has been
 30 constrained by insertion of a delete constraint by a user, the SQL statement will reflect the delete constraint. For example, the delete constraint provided in Figure 8 constraining the delete action to ACL entries that are authenticated would result in an SQL statement

5

15

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is then encapsulated into a DBMetaInfo.java class object (step 940) and the operation ends.

Figure 10 is a flowchart outlining an exemplary operation of the present invention when using the DBMetaInfo.java class object to perform object data deletion. As shown in **Figure 10**, the operation starts when a delete operation request is received indicating the table and object data to be deleted (step 1010). The dependency structure in the DBMetaInfo.java class is
5
10 parsed until the indicated table is found (step 1020).

Once the indicated table is found, it is determined what type of deletion is to be performed on the object data in the table (step 1030). As mentioned above, this is determined based on the delete action identifier in
15 the encapsulated metainfo class. Thereafter, an appropriate SQL statement is generated and sent to the database server to perform the deletion action (step 1040). As mentioned above, the SQL statement generated is specific to whether the delete action is a
20 nullify_columns, a cascade deletion of an identifying relationship, or a cascade deletion of a non-identifying relationship. The operation then ends.

Thus, with the present invention object data may be deleted from a relational database at the object level
25 with linked object data being properly deleted or modified to account for the deletion of the object data. Since this deletion is performed at the object level, the deletion is database independent. In other words, the principles of the present invention are applicable to a
30 plurality of different types of relational databases regardless of the particular protocols used by the relational databases.

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The invention provides an added advantage over the prior art in that it is functional in a distributed heterogeneous database environment. This is because the invention does not rely on database-specific constructs.

5 Thus, for example, the present invention may be utilized to perform deletion of object data in both an Oracle relational database and a IBM DB2 relational database. The only constraint on the type of relational database with which the preferred embodiments of the present

10 invention may be utilized, is that the relational database should be able to perform the delete actions based on SQL statements forwarded to the relational database.

However, the present invention is not limited to the

15 use of SQL statements and may alternatively use other types of formatted command statements to effectuate deletion of object data in relational databases. The advantages of the present invention of identifying delete actions based on the structure of the relational database

20 discerned from database metadata, the ability for a user to customize the delete actions, the encapsulation of the relational database structure and delete actions into a class object, and the use of the class object to perform delete operations is not dependent on the type of delete

25 commands eventually provided to the relational database server.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary

30 skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions

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and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media

5 include recordable-type media such a floppy disc, a hard disk drive, a RAM, CD-ROMs, and transmission-type media such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration and description,
10 and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art.

For example, while the present invention has been
15 described in terms of two types of delete actions, other delete actions that may be desirable may be included in the present invention. For example, rather than nullifying a foreign key of a dependent table object, the foreign key may be set to another desired value other
20 than null. The desired value may be determined based on the particular application to which the creator of the relational database is concerned. This may be performed by extending the delete action identifiers to include other delete action identifiers. Furthermore, additional
25 constraints may be specified for a delete action, e.g., in the book example, deleting an author may result in deletion of books only if the Publisher_ID is a specified value and the price of the book is below a certain value. Any number of possible delete actions and constraints may
30 be supported by the present invention.

The embodiment was chosen and described in order to best explain the principles of the invention, the

practical application, and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

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CLAIMS:

What is claimed is:

1. A method of deleting object data from a relational
5 database, comprising:
determining a structure of the relational database;
determining a delete action based on the structure
of the relational database;
generating database modification commands based on
10 the determined delete action; and
sending the database modification commands to a
relational database server, wherein the relational
database server deletes the object data from the
relational database based on the database modification
15 commands.
2. The method of claim 1, wherein determining the
structure of the relational database includes invoking a
database meta-information class object associated with
20 the relational database.
3. The method of claim 2, wherein the database
meta-information class object encapsulates a dependency
structure of the relational database.
25
4. The method of claim 3, wherein the database
meta-information class object further includes a delete
action identifier for each dependent table of a plurality
of tables in the relational database.
30
5. The method of claim 4, wherein the delete action
identifier is one of cascade delete and nullify columns

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delete.

6. The method of claim 1, wherein the delete action is one of cascade delete and nullify columns delete.

5

7. The method of claim 2, wherein the database meta-information class object is generated based on a file describing the structure and delete actions for tables in the relational database.

10

8. The method of claim 7, wherein the file is an Extended Markup Language file.

15

9. The method of claim 7, wherein the file is further generated based on user input to override default delete action identifiers in the file.

20

10. The method of claim 7, wherein the file is further generated based on user input to insert one or more delete constraints in the file for one or more of the tables in the relational database.

25

11. The method of claim 1, wherein the database modification commands are Structured Query Language (SQL) statements.

12. A system for deleting object data from a relational database, comprising:

a data processor; and

30

a relational database storage device, wherein the data processor determines a structure of the relational database, determines a delete action based on the

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structure of the relational database, generates database modification commands based on the determined delete action and sends the database modification commands to the relational database storage device, wherein the
5 relational database storage device deletes the object data from the relational database based on the database modification commands.

13. The apparatus of claim 12, wherein the data
10 processor determines the structure of the relational database by invoking a database meta-information class object associated with the relational database.

14. The apparatus of claim 13, wherein the database
15 meta-information class object encapsulates a dependency structure of the relational database.

15. The apparatus of claim 14, wherein the database meta-information class object further includes a delete
20 action identifier for each table of a plurality of tables in the relational database.

16. The apparatus of claim 15, wherein the delete action identifier is one of cascade delete and nullify columns
25 delete.

17. The apparatus of claim 13, wherein the database meta-information class object is generated based on a
30 file describing the structure and delete actions for tables in the relational database.

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18. The apparatus of claim 17, further comprising a file editor application executed by the data processor, wherein the file editor application changes the delete action in the file for one or more of the tables in the relational database based on a user input to override default delete action identifiers in the file.

19. The apparatus of claim 18, wherein the file editor application inserts one or more delete constraints into the file for one or more of the tables in the relational database, based on a user input.

20. A method of generating a class for deletion of data representations of objects in a relational database, comprising:

- determining a structure of the relational database;
- determining one or more delete actions based on the structure of the relational database; and
- generating the class object based on the determined structure and the determined one or more delete actions.

21. The method of claim 20, wherein generating the class object includes encapsulating information identifying the structure of the relational database and the one or more delete actions.

22. The method of claim 21, wherein the one or more delete actions is at least one of cascade delete and nullify columns delete.

23. The method of claim 20, wherein the one or more delete actions is at least one of cascade delete and

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nullify columns delete.

24. The method of claim 20, wherein the structure of the relational database and the one or more delete actions
5 are determined from a file describing the structure and delete actions for tables in the relational database.

25. The method of claim 24, wherein the file is further generated based on user input to override default delete
10 action identifiers in the file.

26. The method of claim 24, wherein the file is further generated based on user input to insert one or more delete constraints in the file.
15

27. An apparatus for generating a class object for deletion of data representations of objects in a relational database, comprising:
means for determining a structure of the relational
20 database;
means for determining one or more delete actions based on the structure of the relational database; and
means for generating the class object based on the determined structure and the determined one or more
25 delete actions.

28. The apparatus of claim 27, wherein the means for generating the class object encapsulates information identifying the structure of the relational database and
30 the one or more delete actions.

29. The apparatus of claim 28, wherein the one or more

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delete actions is at least one of cascade delete and nullify columns delete.

30. The apparatus of claim 27, wherein the one or more
5 delete actions is at least one of cascade delete and nullify columns delete.

31. The apparatus of claim 27, wherein the means for
determining the structure of the relational database and
10 the means for determining the one or more delete actions determine the structure and one or more delete actions from a file describing the structure and delete actions of tables in the relational database.

32. The apparatus of claim 31, further comprising means
15 for generating the file, wherein the file is generated based on Java Database Connectivity (JDBC) database metadata associated with the relational database.

33. The apparatus of claim 32, wherein the file is
20 further generated based on user input to override default delete action identifiers in the file.

34. The apparatus of claim 32, wherein the file is
25 further generated based on user input to insert one or more delete constraints in the file.

35. A computer program product in a computer readable
medium for generating a class object for deletion of data
30 representations of objects in a relational database, comprising:

first instructions for determining a structure of

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the relational database;

second instructions for determining one or more delete actions based on the structure of the relational database; and

- 5 third instructions for generating the class object based on the determined structure and the determined one or more delete actions.

36. The computer program product of claim 35, wherein
10 the third instructions include instructions for encapsulating information identifying the structure of the relational database and the one or more delete actions.

15 37. The computer program product of claim 36, wherein the one or more delete actions is at least one of cascade delete and nullify columns delete.

38. The computer program product of claim 35, wherein
20 the one or more delete actions is at least one of cascade delete and nullify columns delete.

39. The computer program product of claim 35, wherein
25 the first and second instructions determine the structure of the relational database and the one or more delete actions from a file describing the structure and delete actions for tables in the relational database.

40. The computer program product of claim 39, further
30 comprising fourth instructions for generating the file based on Java Database Connectivity (JDBC) database metadata associated with the relational database.

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41. The computer program product of claim 39, wherein
the fourth instructions further include instructions for
generating the file based on user input to override
5 default delete action identifiers in the file.

42. The computer program product of claim 39, wherein
the fourth instructions further include instructions for
generating the file based on user input to insert delete
10 action constraints in the file.

43. A computer program product in a computer readable
medium for generating a class object for deletion of data
representations of objects in a relational database,
15 comprising:

a meta-information class for determining a structure
of the relational database and one or more delete actions
based on the structure of the relational database; and
a database meta-information generator class for
20 generating the class object based on the determined
structure and the determined one or more delete actions.

44. The computer program product of claim 43, wherein
the database meta-information generator class
25 encapsulates information identifying the structure of the
relational database and the one or more delete actions
into the class object.

45. The computer program product of claim 44, wherein
30 the one or more delete actions is at least one of cascade
delete and nullify columns delete.

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46. A method of generating a class for deletion of data representations of objects in a relational database, comprising:

- determining a structure of the relational database;
- 5 determining one or more default delete actions based on the structure of the relational database;
- receiving user input to modify the one or more default delete actions; and
- 10 generating the class object based on the determined structure, the determined one or more delete actions and the user input.

47. The method of claim 46, wherein the user input overrides one or more of the one or more default delete
15 actions.

48. The method of claim 46, wherein the user input inserts one or more delete action constraints.

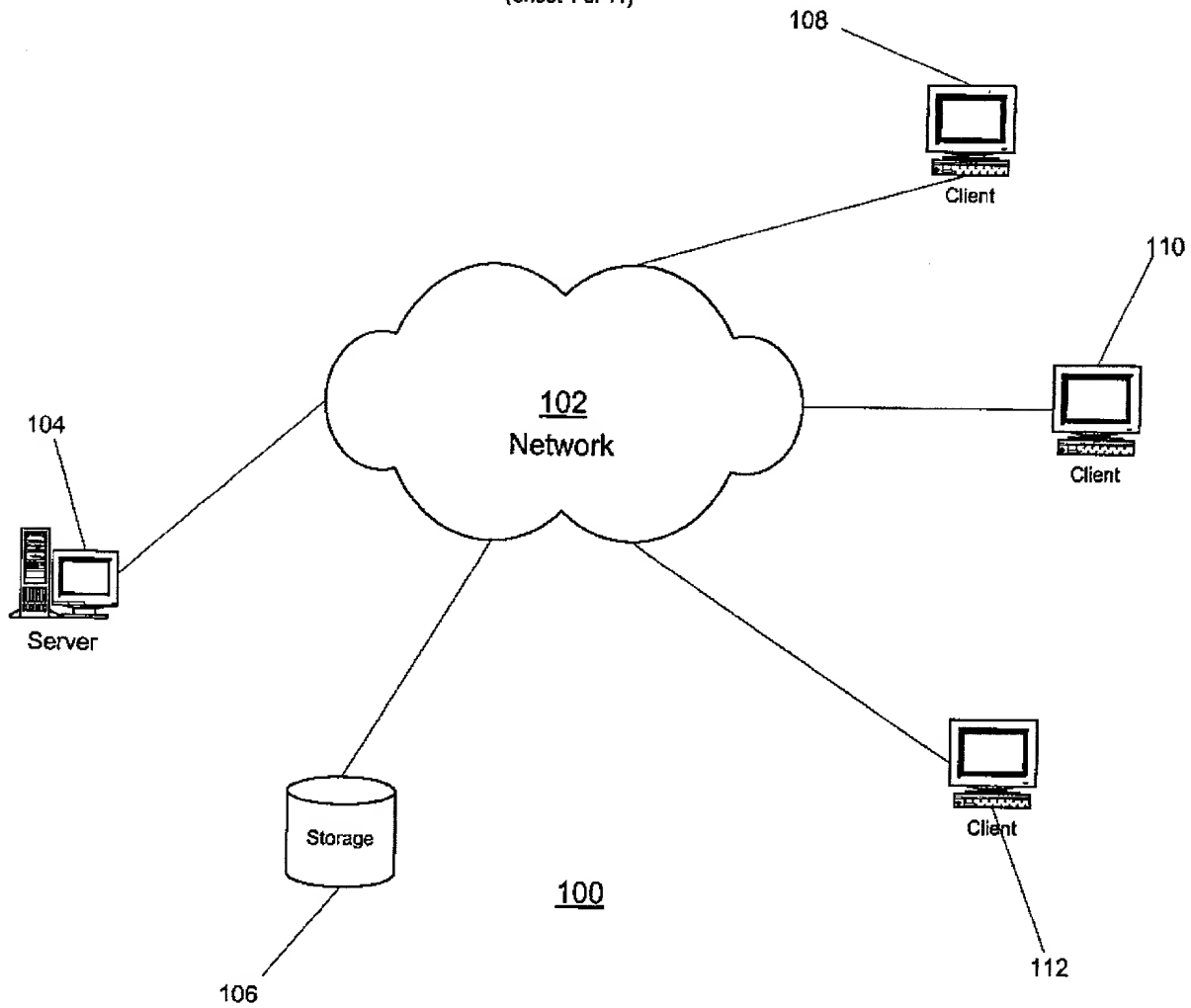
ABSTRACT OF THE DISCLOSURE

**APPARATUS AND METHOD FOR DELETION OF OBJECTS FROM AN
5 OBJECT-RELATIONAL SYSTEM IN A CUSTOMIZABLE AND DATABASE
INDEPENDENT MANNER**

An apparatus and method for deleting objects from an
object-relational system in a customizable and database
10 independent manner. The apparatus and method provide a
customizable and database independent mechanism for the
deletion of object data from a relational database. The
method implemented by the apparatus determines the
dependency structure of a relational database using
15 database metadata. The dependency structure is then
utilized to determine the type of deletion to be
performed on data representations of the object and its
related objects, i.e., parent or child objects. The
deletion actions include cascade deletion and nullify
20 columns deletion. Appropriate SQL statements are then
automatically generated at run-time to perform the
deletion of the object data from the relational database.

Figure 1

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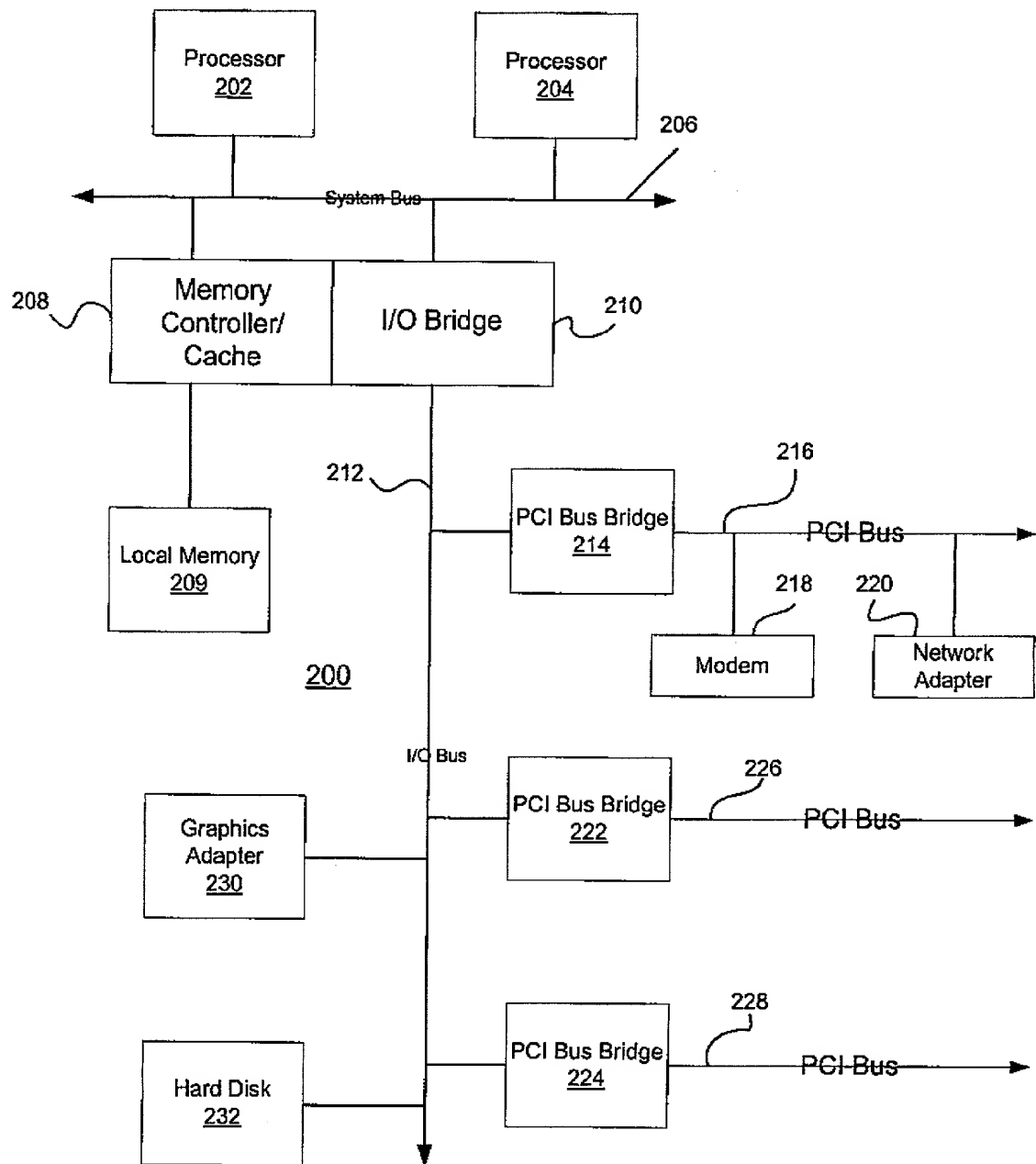


Figure 2

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Figure 4

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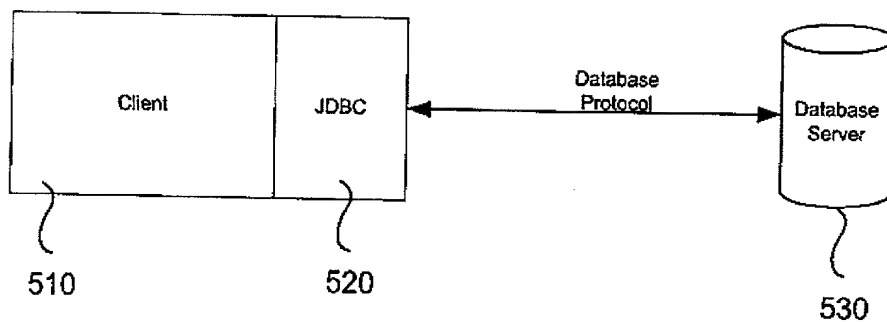


Figure 5A

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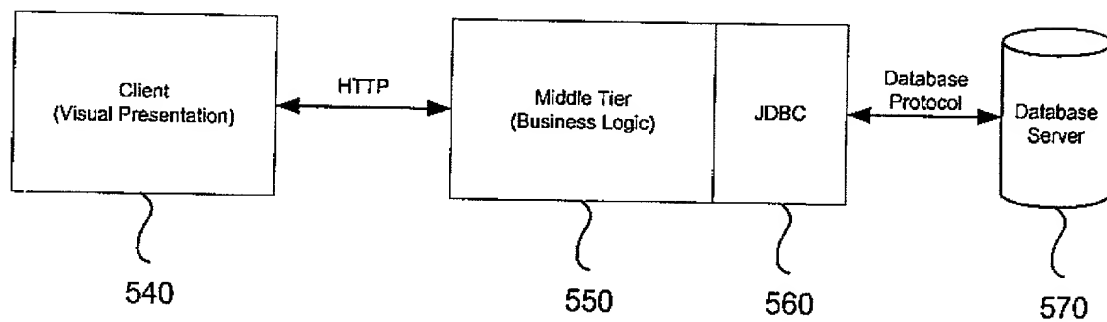


Figure 5B

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(Sheet 5 of 11)

600

Author_ID (PK)	Name	URL
ADAM	Adams, John	http://...
APON	Apone, Kevin	http://...
⋮	⋮	⋮

610

ISBN (PK)	Author_ID	Seq_No
0-08-882-111-4	ADAM	1
0-07-456-432-1	APON	4
⋮	⋮	⋮

620

Title	ISBN (PK)	Publisher_ID	URL	Price
Beyond the Arctic	0-08-882-111-4	00989	http://...	27.95
Guide to Java	0-07-456-432-1	01432	http://...	15.00
⋮	⋮	⋮	⋮	⋮

630

Figure 6

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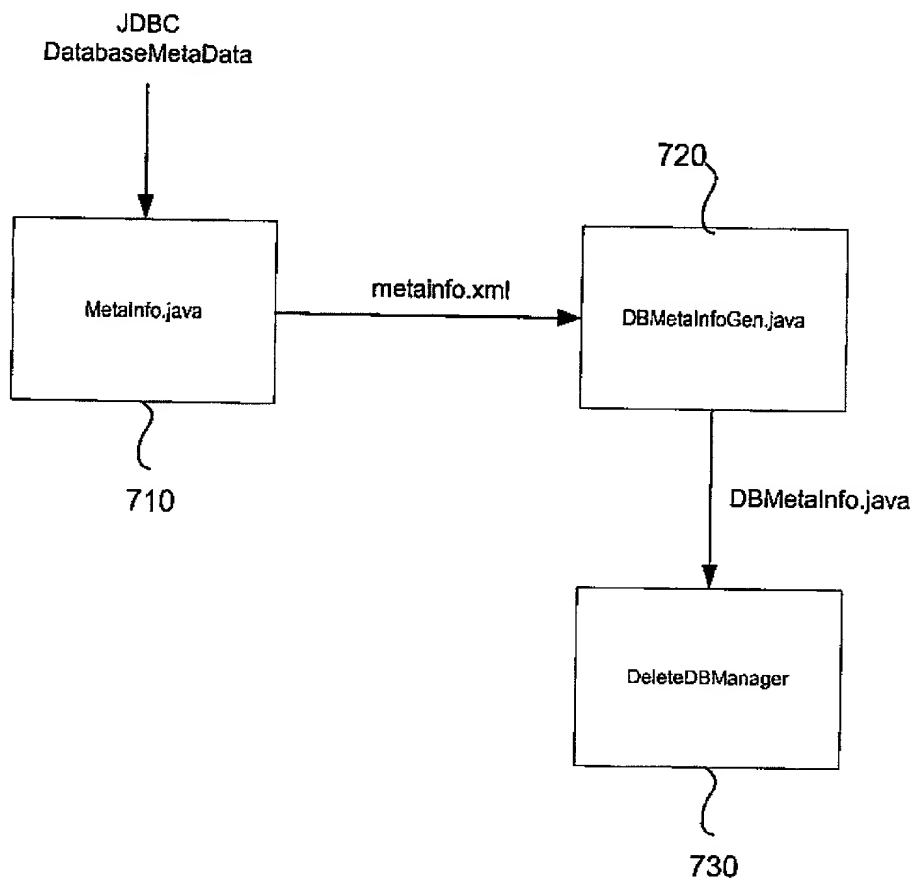


Figure 7

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(Sheet 7 of 11)

XML Dependency MetaInfo

```
<Table name="Author">
  <PrimaryKey>
    <Field name="Author_ID" type="VARCHAR2"></Field>
  </PrimaryKey>
  <Dependent Name="BookAuthor">
    <ForeignKey>
      <Attribute pos="1">
        <Parent>Author_ID</Parent>
        <Child>Author_ID</Child>
      </Attribute>
    </ForeignKey>
    <Delete_Action>NULLIFY COLUMNS</Delete_Action>
  </Dependent>
</Table>
```

810

```
<Table name="BookAuthors">
  <PrimaryKey>
    <Field name="ISBN" type="VARCHAR2"></Field>
  </PrimaryKey>
  <Dependent name="Book">
    <ForeignKey>
      <Attribute pos="1">
        <Parent>ISBN</Parent>
        <Child>ISBN</Child>
      </Attribute>
    </ForeignKey>
    <Delete_Action>CASCADE DELETE</Delete_Action>
  </Dependent>
</Table>
```

820

```
<Table name="Book">
  <PrimaryKey>
    <Field name="ISBN" type="VARCHAR2"></Field>
  </Primary>
</Table>
```

830

Figure 8A

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003040-4244550

```

<Table_Definition>
  <Table name="ACL">
    <PrimaryKey>
      <Field name="ACLNAME" type="VARCHAR2"></Field>
    </PrimaryKey>
    <Dependent name="ACL_ENTRY">
      <ForeignKey>
        <Attribute pos="1">
          <Parent>ACLNAME</Parent>
          <Child>ACLNAME</Child>
        </Attribute>
      </ForeignKey>
      <Delete_Action><CASCADE DELETE</Delete_Action>
      <Delete_Constraint>unauthenticated = 0</Delete_Constraint>
    </Dependent>
  </Table>
  <Table name="ACL_ENTRY">
    <PrimaryKey>
      <Field name="ACLNAME" type="VARCHAR2"></Field>
      <Field name="ELEMENTNAME" type="VARCHAR2"></Field>
    </PrimaryKey>
    <Dependent name="PERMISSIONROLEMAP">
      <ForeignKey>
        <Attribute pos="1">
          <Parent>ACLNAME</Parent>
          <Child>ACLNAME</Child>
        </Attribute>
        <Attribute pos="2">
          <Parent>ELEMENTNAME</Parent>
          <Child>ELEMENTNAME</Child>
        </Attribute>
      </ForeignKey>
      <Delete_Action><CASCADE DELETE</Delete_Action>
    </Dependent>
  </Table>
  <Table name="PERMISSIONROLEMAP">
    <PrimaryKey>
      <Field name="ACLNAME" type="VARCHAR2"></Field>
      <Field name="ELEMENTNAME" type="VARCHAR2"></Field>
      <Field name="MGMTSRVID" type="VARCHAR2"></Field>
      <Field name="ROLEID" type="NUMBER"></Field>
    </PrimaryKey>
  </Table>

```

870

880

890

Figure 8B

Figure 9

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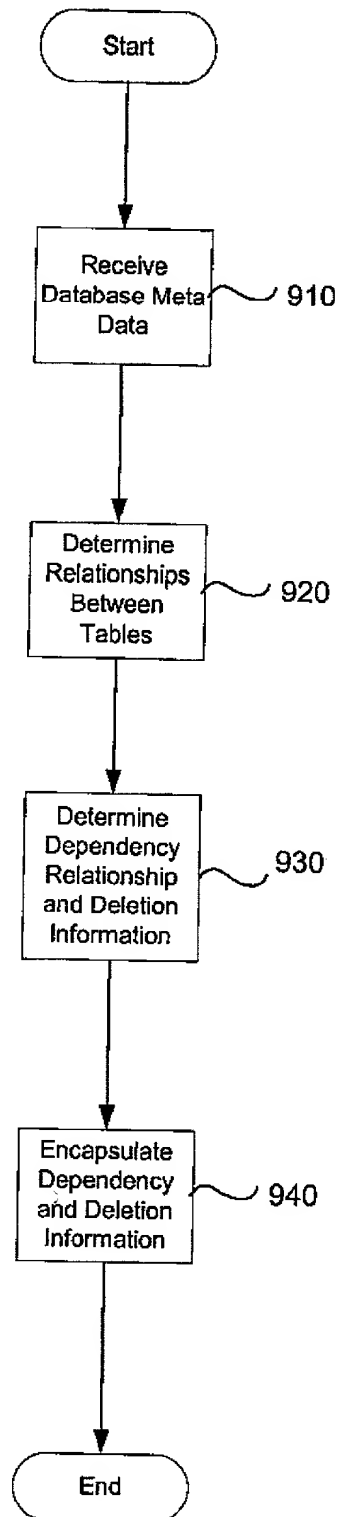
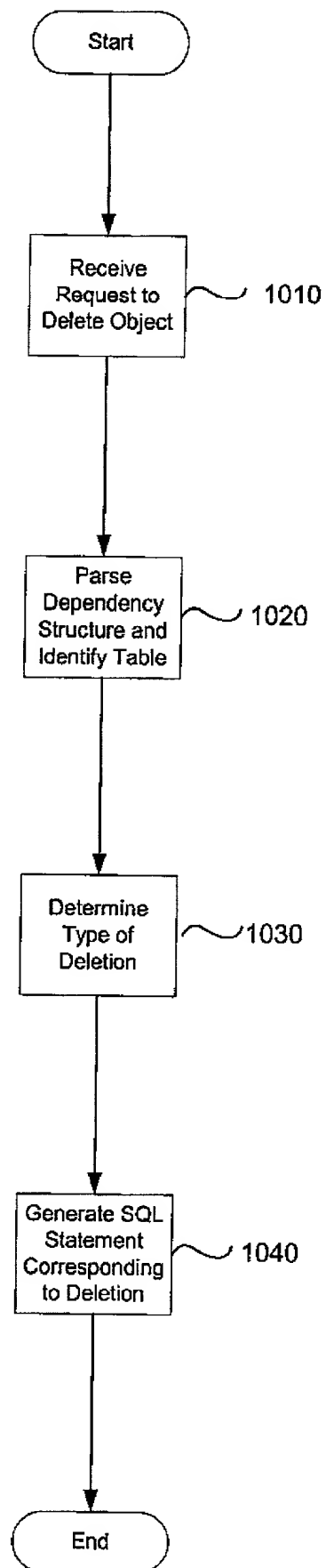


Figure 10

AUS000185US1
(Sheet 11 of 11)



**DECLARATION AND POWER OF ATTORNEY FOR
PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Apparatus and Method for Deletion of Objects from an Object-Relational System in a Customizable and Database Independent Manner

the specification of which (check one)

X is attached hereto.

— was filed on _____
as Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):	Priority Claimed	
_____	_____	_____ Yes _____ No
(Number)	(Country)	(Day/Month/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial #)	(Filing Date)	(Status)


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John W. Henderson, Jr., Reg. No. 26,907; Thomas E. Tyson, Reg. No. 28,543; James H. Barksdale, Jr., Reg. No. 24,091; Casimer K. Salys, Reg. No. 28,900; Robert M. Carwell, Reg. No. 28,499; Douglas H. Lefevre, Reg. No. 26,193; Jeffrey S. LaBaw, Reg. No. 31,633; David A. Mims, Jr., Reg. No. 32,708; Volel Emile, Reg. No. 39,969; Anthony V. England, Reg. No. 35,129; Leslie A. Van Leeuwen, Reg. No. 42,196; Christopher A. Hughes, Reg. No. 26,914; Edward A. Pennington, Reg. No. 32,588; John E. Hoel, Reg. No. 26,279; Joseph C. Redmond, Jr., Reg. No. 18,753; Marilyn S. Dawkins, Reg. No. 31,140; Mark E. McBurney, Reg. No. 33,114; Duke W. Yee, Reg. No. 34,285; Colin P. Cahoon, Reg. No. 38,836; Rudolph J. Buchel, Reg. No. 43,448; Stephen R. Loe, Reg. No. 43,757; Stephen J. Walder, Reg. No. 41,534; Charles D. Stepps, Jr., Reg. No. 45,880; and Stephen R. Tkacs, Reg. No. P-46,430.

Send correspondence to: Duke W. Yee, Carstens, Yee & Cahoon, LLP, P.O. Box 802334, Dallas, Texas 75380 and direct all telephone calls to Duke W. Yee, (972) 367-2001.

FULL NAME OF SOLE OR FIRST INVENTOR: Joseph Mulavelil George

INVENTORS SIGNATURE:  DATE: APRIL 4th, 2000

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CITIZENSHIP: India

POST OFFICE ADDRESS: SAME AS ABOVE